

PTO/SB/21 (02-04)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/075,733
	Filing Date	February 14, 2002
	First Named Inventor	Ulrich Behrendt
	Art Unit	1723
	Examiner Name	K.S. Menon
Total Number of Pages in This Submission	Attorney Docket Number	21102

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Translation of German Office Action; References (3); and Statement Under 37 CFR Section 1.97(e)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John P. Parise
Signature	
Date	April 4, 2005

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	John P. Parise		
Signature		Date	April 4, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application

Inventors: Ulrich Behrendt et al

Group: 1723

Serial No. 10/075,733, filed February 14, 2002
Docket No. 21102

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110

Date: April 4, 2005

Mail Stop: Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify:

X That each item of information contained in the enclosed Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Supplemental Information Disclosure Statement.

Respectfully submitted,

Attorney for Applicant(s)

John P. Parise

(Reg. No. 34,403)

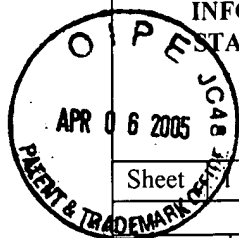
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153225



Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Application Number	10/075,733
		Filing Date	February 14, 2002
		First Name Inventor	Ulrich Behrendt
		Group Art Unit	1723
		Examiner Name	K.S. Menon
Sheet 1 of 1	Attorney Docket Number	21102	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A4	3,728,256		WILLIAM W. COOPER IV	04-17-1973
	A5	4,218,313		JAMES D. AID et al	08-19-1980
	A6	4,271,014		JAMES D. AID et al	06-02-1981
	A7	4,289,623		KYU H. LEE et al	09-15-1981
	A8	4,496,458		KYU H. LEE et al	01-29-1985

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	B12	DE	2650588	A1	HOHFLASER-DIALYSATOR	05-18-1977	√
	B13	JP	08108049	A	DAINIPPON INK & CHEM INC	04-30-1996	
	B14	DE	19806293	A1	FRESENIUS MEDICAL CARE DEUTSCHLAND GMBH	08-19-1999	√
Examiner Signature		Date Considered					

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation or abstract is attached.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Ulrich Behrendt et al

Group: 1723

Serial No. 10/075,733, filed February 14, 2002
Docket No. 21102

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

04/06/2005 FHETEKI1 00000060 082525 10075733

01 FC:1806 180.00 DA

Nutley, New Jersey 07110

Date: April 4, 2005

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A4-A8 and B12-B14 are listed on Form PTO-1449. Documents A4-A8 are not enclosed because they are U.S. Patents

Enclosed is a translation of a German Office Action dated January 5, 2005, issued by the German Patent Office in DE 101 06 722 A1 application corresponding to the captioned application. The Office Action lists Documents A4, B12-B14. Document B12, DE 2650588 corresponds to Document A5, U.S. Patent No. 4,218,313; Document A6, U.S. Patent No. 4,271,014; Document A7, U.S. Patent No. 4,289,623; and Document A8, U.S. Patent No. 4,496,458; which are not being submitted because they are U.S. Patents. Also, Document B14, which is in German, includes an English language abstract.

Serial No. 10/075,733
Filed: February 14, 2002

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Supplemental Information Disclosure Statement is submitted after a Final Action or Notice of Allowance, Applicants petition that the Supplemental Information Disclosure Statement be considered. Submitted is a Fee Sheet and a certificate under 37 C.F.R. § 1.97(e).

Respectfully submitted,



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inte patent application

Inventors: UlrichBehrendt et al

Serial No.: 10/075,733

Filed: February 14, 2002

Docket No. 21102

Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110
April 4, 2005

Group: 1723

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

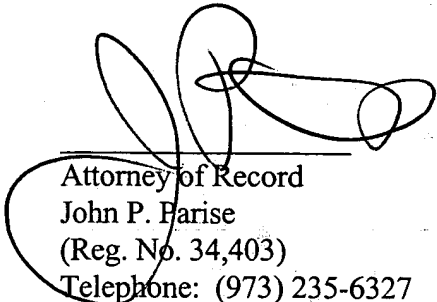
REQUEST TO CHARGE DEPOSIT ACCOUNT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT** in the above-identified application.

- ☒ Please charge my Deposit Account No. 08-2525 in the amount of \$180.00 which is the fee in connection with the filing of the enclosed paper.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525.
- ☒ This sheet is provided in duplicate.


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